Asahi Kasei CSR Survey Questions

ESG	Category	Purpose, key points for improvement, and its explanation	Subject	Question	Question No.	Classification	1) Answer Level 1	2) Answer Level 3	3) Answer Level 4	4) Answer Level 5	5) Answer Level 0 (Not applicable)
G	1. Corporate Governance (2) related to CSR (1) "Corporate Social Responsibility"	A company is expected to comply with the law, follow social norms, meet the expectations of society, and strive to create a sustainable society while minimizing negative impacts on society and the environment. It is imperative that these ideas be disseminated throughout the company and put into practice. Therefore, it is necessary to establish a system to **manage ESG** (Environment, Social, and Governance) **risks related to CSR** and to implement the PDCA (Plan, Do, Check, Act) cycle. **We ask you to establish an internal system for implementing CSR and to work on it with sincerity.** **More specifically, we ask you to address the following.** **1) ESG risk management and its PDCA cycle system** **2) Establishment of internal controls** **3) BCP measures for disaster preparedness** **4) Establishment of whistleblowing system**	1-1. Establishment of a CSR promotion (1) system	Have you established **policies and guidelines** for CSR promotion and disseminated them to your employees, etc.?	1-1-1	Policy	Not established and no immediate plans to address	Although not yet established, the president or an officer understands the importance of CSR promotion and gives instructions as necessary	Not yet established, but understand the importance of CSR promotion and will take action within about a year	Established and updated timely and appropriately	Unselectable
				Have you clarified **the person in charge and the system** (responsibility/implementation department) for implementing risk management (ESG) and its PDCA cycle?	1-1-2	System and Responsibility	Not clarified	Clarified	Unselectable	Clarified and updated timely and appropriately	Unselectable
				Are there any initiatives **to identify risks and set up corrective plans for ESG in general in relation to CSR?** Also, **what systems** do you have in place to check and verify the results of your efforts and to correct them?	1-1-3	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
			1-2. Establishment of internal controls (3)	Have you established policies and guidelines for the establishment of internal controls to ensure the effectiveness and efficiency of corporate operations, the reliability of financial reporting, compliance with laws and regulations related to business activities, and the preservation of assets for the purpose of sound corporate management by establishing **internal administrative structure and systems**?	1-2-1	Policy	Not established and no immediate plans to address	Either or both	Unselectable	Established and updated timely and appropriately	Unselectable
				Have you clarified **the person in charge and the system** (responsibility/implementation department) regarding the establishment of internal controls?	1-2-2	System and Responsibility	Not clarified	Clarified	Unselectable	Clarified and updated timely and appropriately	Unselectable
				Are there any initiatives **to establish internal controls? Also, do you have** systems in place to check and verify the results of your efforts and to correct them?	1-2-3	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
			1-3. Business Continuity Plan (BCP) (4) system development/ risk management	Have you established **policies and guidelines for a business continuity plan (BCP)** to ensure the continuation or early recovery of important operations and businesses in the event of a disaster (natural disaster, catastrophic fire, terrorist attack, etc.)?	1-3-1	Policy	Not established and no immediate plans to address	Either or both	Unselectable	Established and updated timely and appropriately	Unselectable
				Have you clarified **the person in charge and the system** (responsibility/implementation department) for business continuity planning?	1-3-2	System and Responsibility	Not clarified	Clarified	Unselectable	Clarified and updated timely and appropriately	Unselectable
				Are there any initiatives **for business continuity planning**? Also, do you have **systems in place to check and verify the results of your efforts and to correct them**?	1-3-3	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
			1-4. Establishment of whistleblowing system	Note: A system is in place for employees who become aware of compliance problems, such as violations of laws and regulations or improper acts in the company's overall corporate activities, or acts that may lead to such problems, or for employees themselves who have suffered human rights or labor violations to report or consult directly with a dedicated department or external consultation service. In such cases, confidentiality should be strictly protected and no adverse treatment should be given. **Have you established policies and guidelines regarding the whistleblower system (internal rules to protect whistleblowers and the establishment of a reporting and consultation service)?**	1-4-1	Policy	Not established and no immediate plans to address	Either or both	Unselectable	Established and updated timely and appropriately	Unselectable
				Have you clarified **the person in charge and the system** (responsibility/implementation department) for the whistleblowing system?	1-4-2	System and Responsibility	Not clarified	Clarified	Unselectable	Clarified and updated timely and appropriately	Unselectable
				Are there any initiatives **on the whistleblowing system? Also, do you have systems in place to check and verify the results of your efforts and to correct them**?	1-4-3	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
		To ensure that stakeholders have a sound understanding of the company, corporate information must be disclosed to society as quickly as possible (fairly, impartially and accurately). More specifically, we ask you to address the following. 1) **Establishment of policies to ensure information disclosure** 2) **Proactive and broader communication activities**	1-5. Dissemination of CSR-related information within and outside the company/ stakeholders (appropriate disclosure of information to internal and external stakeholders)	**Have you established** policies and guidelines for the dissemination of CSR-related information both internally and externally? Note: In response to the demands for transparency and accountability from society and **stakeholders (5) (internal and external stakeholders)** for corporate activities, appropriate dissemination of financial and **non-financial information (6)**, both internally and externally, and promotion of appropriate information disclosure and communication are essential.	1-5-1	Policy	Not established and no immediate plans to address	Although not yet established, the president or an officer understands the importance of CSR promotion and gives instructions as necessary	Not yet established, but understand the importance of CSR promotion and will take action within about a year	Established and updated timely and appropriately	Unselectable
				Have you clarified **the person in charge and the system** (responsibility/implementation department) for promoting dialogue with stakeholders and information disclosure?	1-5-2	System and Responsibility	Not clarified	Clarified	Unselectable	Clarified and updated timely and appropriately	Unselectable
				Are there any initiatives **to promote dialogue with stakeholders and information disclosure? Also, do you have systems in place to check and verify the results of your efforts and to correct them**?	1-5-3	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
				Do you periodically request your suppliers (raw material and material suppliers, contract processing companies, etc.) to promote timely and appropriate information disclosure and dialogue with stakeholders through CSR procurement survey or other means, and confirm their efforts?	1-5-4	Request to suppliers	No request and no immediate plans to address	Irregular requests are made, and if necessary, the response status is checked	Unselectable	Periodic requests are made and there is a system to check the results	Not applicable (no transactions for raw materials, material procurement, contract processing, and business and operation outsourcing)
			Free space	I. As for the above questions related to "Corporate Governance for CSR," please provide any supplementary information, future plans, or examples of specific initiatives at your company. (Free to fill in)							
S	2. Human rights	A company must respect and support the protection of internationally proclaimed human rights and avoid complicity (assistance) in human rights violations. Key global frameworks and norms on human rights: **Universal Declaration of Human Rights (7), 10 principles of the UN Global Compact (8), UN Guiding Principles on Business and Human Rights (9), OECD Guidelines for Multinational Enterprises (10), UK Modern Slavery Act (11),** etc. **Please respect the basic human rights and diversity of individuals (workers, etc.) and do not discriminate or tolerate discrimination in any business activities.**	2-1. Basic stance on human rights	Do you understand **domestic and international laws and regulations, social norms (12), industry norms (13), and standards regarding the basic stance on human rights?**	2-1-1	Legal awareness	Not recognized	Aware of, but not able to check for violations	Unselectable	Aware of and checking for violations	Unselectable
				Have you established **policies and guidelines** regarding the basic stance on human rights?	2-1-2	Policy	Not established and no immediate plans to address	Although not yet established, the president or an officer understands the importance of CSR promotion and gives instructions as necessary	Not yet established, but understand the importance of CSR promotion and will take action within about a year	Established and updated timely and appropriately	Unselectable
				Have you clarified **the person in charge and the system** (responsibility/implementation department) regarding the basic stance on human rights? Note: A system (departments, committees, teams, etc.) to promote activities related to the improvement of human rights and the working environment must be established and the responsible person must be clearly identified.	2-1-3	System and Responsibility	Not clarified	Clarified	Unselectable	Clarified and updated timely and appropriately	Unselectable
			2-2. Respect for human rights and prohibition of discrimination	Are there any initiatives **to respect human rights and prohibit discrimination?** Also, do you have systems in place to check and verify the results of your efforts and to correct them? Note: A company must improve its work environment. In other words, the company must respect the human rights of workers and fulfill its responsibility to make decisions and conduct business activities without discrimination based on race, nationality, gender, **sexual orientation (14),** age, family background, religion, ethnicity, immigrant, etc., nor against children, the elderly, the disabled, indigenous peoples, the poor, and people with HIV/AIDS.	2-2-1	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
			2-3. Avoidance of complicity (assistance) in human rights violations	**Are there any initiatives** to avoid complicity (assistance) in human rights violations? Also, do you have **systems in place to check and verify the results of your efforts and to correct them?** Note: Consideration must be given to ensure that the company's decisions, business activities, products, and services do not contribute to (assist) human rights violations of consumers or members of the local community.	2-3-1	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
			2-4. Respect for livelihood of indigenous peoples and local communities	**Are there any initiatives to respect for livelihood of indigenous peoples and local communities?** Also, do you have **systems in place to check and verify the results of your efforts and to correct them?** Note: An important issue for companies conducting business in areas inhabited by indigenous peoples and ethnic minorities is to respect indigenous culture and history, comply with international standards as well as local laws and regulations, and consider the rights of indigenous peoples. In addition to indigenous peoples' issues, responsible business operations with consideration for affected communities are also essential for maintaining operating rights and obtaining permits.	2-4-1	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Not applicable (no overseas bases)
			Free space	II. As for the above questions related to human rights, please provide any supplementary information, future plans, or examples of specific initiatives at your company. (Free to fill in)							

3. Labor	A company must recognize the labor principles expressed in international norms and other sources and apply them as universal values to basic principles in the workplace. In other words, a company must respect the human rights (race, nationality, gender, sexual orientation, age, family background, religion, ethnicity, migration status, etc.) of workers and fulfill its responsibility to make decisions and conduct business activities without discrimination against children, the elderly, the disabled, indigenous peoples, the poor, and people with HIV/AIDS. Key global frameworks and norms on labor: Universal Declaration of Human Rights, **ILO Core Labour Standards (15), 10 principles of the UN Global Compact (8), UN Guiding Principles on Business and Human Rights (9), OECD Guidelines for Multinational Enterprises (10), UK Modern Slavery Act (11), etc.** **Respect the basic human rights and diversity of individuals, and in all business activities, do not engage in or tolerate discrimination on the basis of nationality, gender, race, ethnicity, gender, ideology, age, physical characteristics, sexual orientation, employment status, contract status, or any other grounds.** **More specifically, we ask you to address the following, we ask you to address the following.** 1) Prohibition of inhumane labor practices (forced labor, child labor, slavery, etc.) 2) Prohibition of harassment								

3-1. Basic stance on labor practices

Question	ID	Category	Level 1	Level 2	Level 3	Level 4	Level 5
Do you understand domestic and international laws, regulations, social/industry norms, and standards regarding the basic stance on labor practices?	3-1-1	Legal awareness	Not recognized	Aware of, but not able to check for violations	Unselectable	Aware of and checking for violations	Unselectable
Have you established policies or guidelines **regarding** the basic stance on labor practices?	3-1-2	Policy	Not established and no immediate plans to address	Either or both	Unselectable	Established and updated timely and appropriately	Unselectable
Have you clarified the person in charge and system **(responsibility/implementation department)** regarding the basic stance on labor practices? Note: Establish a system (departments, committees, teams, etc.) to promote activities related to the improvement of human rights and the working environment, and clarify who is responsible.	3-1-3	System and Responsibility	Not clarified	Clarified	Unselectable	Clarified and updated timely and appropriately	Unselectable
3-2. Prohibition of discrimination in employment **Are there any initiatives to prohibit discrimination in employment?** Also, do you have **systems in place to check and verify the results of your efforts and to correct them?** Note: Education and awareness activities, etc. (No discrimination shall be made in hiring on the basis of race, nationality, gender, sexual orientation, age, family background, religion, ethnicity, migration status, disability, or any other factors other than reasonable factors such as the ability and aptitude of the individual.)	3-2-1	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
3-3. Equal opportunities for employees regarding human resource development, career advancement (16), etc. **Are there any initiatives to provide equal opportunities to employees?** Also, do you have **systems in place to check and verify the results of your efforts and to correct them?** Note: Education and awareness activities, etc. (Provide equal opportunities for promotion, training, etc., without discrimination on the basis of race, nationality, gender, sexual orientation, age, family background, religion, ethnicity, migration status, disability, marital status, health status, etc.)	3-3-1	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
3-4. Prohibition of inhumane treatment/ harassment **Are there any initiatives regarding the prohibition of harassment?** Also, do you have **systems in place to check and verify the results of your efforts and to correct them?** Note: Harassment prevention guidelines, education and awareness activities, etc. (Respect the human rights of employees and prohibit inhumane treatment such as abuse, physical punishment, and harassment.)	3-4-1	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
3-5. Payment of appropriate wages **Are there any initiatives for the proper payment of wages?** Also, do you have **systems in place to check and verify the results of your efforts and to correct them?** Note: Working hours recording and control (Comply with the legal minimum wage of the country or region in which you operate, **conclude appropriate** labor agreements (17) (overtime work, etc.), and fairly apply extra pay, payment methods, etc.)	3-5-1	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
3-6. Fair application of working hours, holidays, paid vacations, etc. **Are there any initiatives to ensure fair application of working hours, holidays, paid vacations, etc.?** Also, do you have **systems in place to check and verify the results of your efforts and to correct them?** Note: In Japan you must allow employees to take a 24-hour consecutive day off every seven days or four days off per month. (Legal or pre-agreed working hours must be adhered to. Appropriately manage employees' working hours and give them the right to take paid vacations. Provide at least one day off per week.)	3-6-1	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
3-7. Prohibition of forced labor **Are there any initiatives to prohibit forced labor?** Also, do you have **systems in place to check and verify the results of your efforts and to correct them?** Note: Education etc. (Never allow a person to work against his/her will or to work with restrictions on his/her freedom to leave the company. No unfair means of restraint shall be used to coerce employees to work, or to force them to work overtime. Also, **never improperly keep** identification documents (18) **or** illegally collect deposits (19).)	3-7-1	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
3-8. Prohibition of child labor **Are there any initiatives to prohibit child labor?** Also, do you have **systems in place to check and verify the results of your efforts and to correct them?** Note: Education etc. (Never employ children who are under the legal working age in the country or region. In addition, children shall not be allowed to work in a manner that jeopardizes their health, safety, or morals.)	3-8-1	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
3-9. Respect for the religious traditions and customs of the countries or regions where you operate **Are there any initiatives to** respect the religious traditions and customs of the countries and regions where you operate? Also, **do you have systems in place to check and verify the results of your efforts and to correct them?** Note: Provide all foreign workers with employment contracts and work rules in a language they can understand (Respect the traditions and customs of the country or region in which you operate, as well as the religious traditions and customs of the employees, and do not interfere with these traditions and customs through standardized work rules, etc.)	3-9-1	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Not applicable (no foreign workers, no overseas bases)
3-10. Recognition and respect for freedom of association (20) and right to collective bargaining Are there any initiatives regarding **freedom of association and the right to collective bargaining?** Also, do you have **systems in place to check and verify the results of your efforts and to correct them?** Note: Education etc. (Respect the freedom of employees to associate, join labor unions, and protest without retaliation, intimidation, or harassment, and provide opportunities for dialogue between labor and management.)	3-10-1	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
3-11. Appropriate management of employee health and safety Have you established **policies and guidelines for the appropriate management of employee health and safety**?	3-11-1	Policy	Not established and no immediate plans to address	Either or both	Unselectable	Established and updated timely and appropriately	Unselectable
Have you established initiatives and systems to promote occupational health and safety (OHSAS 18001 and other occupational health and safety management systems (OHS-MS), use of third-party certification systems, etc.)?	3-11-2	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
Are you aware of the risks of accidents, harmful chemicals, noise, odors that may occur during work, and are you taking appropriate safety measures (including legal inspections, **protective measures (21), hazard labeling (22),** chemical substance management, countermeasures against dangerous work, designation of protective equipment, etc.)? Do you also take measures to ensure the mental health of your employees?	3-11-3	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
Do you conduct evacuation drills or training on disaster prevention for your workers at least once a year?	3-11-4	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
Do you provide education related to occupational health and safety to your workers?	3-11-5	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
Do workers undergo health examinations at least once a year?	3-11-6	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
Do you have an internal audit system for occupational health and safety?	3-11-7	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, **audits are conducted**, and reviews are performed timely and appropriately	Unselectable
Do you have **systems in place to correct the efforts** related to employee occupational health and safety as necessary?	3-11-8	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
Do you periodically request your suppliers to ensure occupational health and safety through CSR procurement survey or other means, and confirm their efforts?	3-11-9	Request to suppliers	No request and no immediate plans to address	Irregular requests are made, and if necessary, the response status is checked	Unselectable	Periodic requests are made and there is a system to check the results	Not applicable (no transactions for raw materials, material procurement, contract processing, and business and operation outsourcing)
3-12. Request to suppliers (labor and human rights) Do you periodically request your suppliers to formulate policies for activities aimed at respecting human rights and eliminating all forms of discrimination in employment through CSR procurement survey or other means, and confirm their efforts?	3-12-1	Request to suppliers	No request and no immediate plans to address	Irregular requests are made, and if necessary, the response status is checked	Unselectable	Periodic requests are made and there is a system to check the results	Not applicable (no transactions for raw materials, material procurement, contract processing, and business and operation outsourcing)
Free space		III. As for the above questions related to labor, please provide any supplementary information to your answers, future plans, or examples of specific initiatives at your company. (Free to fill in)					

S

4. Environment

E

A company must establish a system to identify and address environmental issues in **the business processes (23)**. Also, a responsibility to identify and control factors that affect the environment is required.

Key global frameworks and norms on the environment:
Rio Declaration **on Environment and Development (24)**, **10 principles of the UN Global Compact (8)**, ISO 14001 (25), Paris Agreement (26), Basel Convention (27)

Major laws and regulations on the environment (28):
Japan: Basic Environment Act, Act on the Evaluation of Chemical Substances and Regulation of Their Manufacture, etc., Industrial Safety and Health Act, Water Pollution Control Act, Water Resources Development Promotion Act, Act for the Prevention of Marine Pollution and Maritime Disasters, River Act, Waste Disposal and Public Cleansing Act, Basic Act for the Promotion of the Recycling-Oriented Society, and various recycling laws
USA: TSCA
EU: REACH Regulation
Korea: Toxic Chemicals Control Act (+B64), Industrial Safety and Health Act
China: Provisions on the Environmental Administration of New Chemical Substances
Taiwan: Occupational Safety and Health Act

In order to coexist in harmony with the environment, we ask that you comply with laws and regulations related to environmental control and make efforts to reduce environmental impact (measures against climate warming, promotion of resource and energy conservation, etc.).

Subsection	Question	No.	Category					
4-1 Basic stance on environmental preservation efforts	Do you understand domestic and international laws, regulations, social/industry norms, and standards on environmental preservation?	4-1-1	**Legal awareness**	Not recognized	Aware of, but not able to check for violations	Unselectable	Aware of and checking for violations	Unselectable
	Have you established policies and guidelines for environmental preservation?	4-1-2	Policy	Not established and no immediate plans to address	Although not yet established, the president or an officer understands the importance of environmental preservation and makes it known to employees	Not yet established, but understand the importance of environmental preservation and will take action within about a year	Established and updated timely and appropriately	Unselectable
	Have you clarified **the person in charge and the system** (responsibility/implementation department) on environmental preservation?	4-1-3	System and Responsibility	Not clarified	Clarified	Unselectable	Clarified and updated timely and appropriately	Unselectable
	Have you established systems to promote environmental preservation (e.g., **environmental management system (EMS) such as ISO 14001**, use of third-party certification systems, etc.)?	4-1-4	Policy	Not established and no immediate plans to address	Either or both	Unselectable	Systems are established and reviewed as appropriate (e.g., SO 14001 certification)	Unselectable
	Do you provide education on environmental preservation to your workers?	4-1-5	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
	Do you have an internal audit system on environment?	4-1-6	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, **audits are conducted**, and reviews are performed timely and appropriately	Unselectable
4-2. Control of chemical substances designated by laws and regulations in manufacturing processes, products, and services	**Are there any initiatives to** control chemical substances designated by laws and regulations in manufacturing processes, products, and services? Also, do you have **systems in place to check and verify the results of your efforts and to correct them?** Note: Managed by specialized organizations, discussions at regular meetings, etc. (Not only to control chemical substances in products, but also to identify the amount of chemical substances handled and to report to the government.)	4-2-1	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
	Do you control procured chemical substances appropriately, notify customers if products contain substances that are restricted by laws and regulations, and immediately **issue and update SDSs**?	4-2-2	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
4-3. Management of wastewater, sludge, and exhaust and reduction of their generation	**Are there any initiatives to manage wastewater, sludge, and exhaust and to reduce their generation?** Also, do you have **systems in place to check and verify the results of your efforts and to correct them?** Note: Emissions data collection, appropriate risk management, etc. (Set voluntary environmental impact reduction targets that are at or below the level of wastewater, sludge, and waste discharge reduction specified by laws and regulations. To prevent the generation of pollution, monitoring and control of wastewater, **sludge (29)**, exhaust, etc. are implemented to reduce the amount of outflow.)	4-3-1	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
4-4. Sustainable and efficient use of resources (energy, water, raw materials, etc.)	Are there any initiatives to ensure sustainable and efficient use of resources (energy, water, raw materials, etc.)? Also, do you have **systems in place to check and verify the results of your efforts and to correct them?** Note: Activities to reduce energy intensity, etc. (Set voluntary targets for resource and energy conservation, and continue to make effective use of resources and energy.)	4-4-1	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
4-5. GHG (greenhouse gas) (30) emission reduction	**Are there any initiatives to reduce GHG (greenhouse gas) emissions?** Also, do you have **systems in place to check and verify the results of your efforts and to correct them?** Note: Target setting, data collection and maintenance, etc. (In response to climate change, set voluntary reduction targets for greenhouse gases such as carbon dioxide, methane, and CFC, working to reduce them.)	4-5-1	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
4-6. Waste identification, management, reduction, and responsible disposal (31) or recycling	**Are there any initiatives to** identify, manage, reduce, and responsibly dispose of or recycle wastes? Also, do you have **systems in place to check and verify the results of your efforts and to correct them?** Note: Activities for waste management and reduction (Set voluntary reduction targets for waste and work to reduce them.)	4-6-1	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
	Are you taking measures to reduce the amount of chemical substances released into the environment (e.g., PRTR)?	4-6-2	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
4-7. Initiatives for biodiversity (32)	**Are there any initiatives on** biodiversity? Also, do you have **systems in place to check and verify the results of your efforts and to correct them?** Note: Environmental assessment (environmental impact assessment) of products, etc. (Examine the direct and indirect impacts of the activities on ecosystems, and work to preserve and sustainably use biodiversity.)	4-7-1	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
4-8. Promotion of green purchasing/ procurement	To promote **green purchasing (purchasing of environmentally friendly stationery, office equipment, etc.)**, do you collect data and implement appropriate management? Note: Promotion of green purchasing, coexistence with the environment, and efforts to reduce environmental impact	4-8-1	Availability and review of systems	No system in place	System in place	Unselectable	A system is in place and is reviewed timely and appropriately	Unselectable
	To promote **green procurement (procurement of environmentally friendly materials, materials, etc.)**, do you collect data and implement appropriate management? Note: Promotion of green procurement, coexistence with the environment, and efforts to reduce environmental impact	4-8-2	Availability and review of systems	No system in place	System in place	Unselectable	A system is in place and is reviewed timely and appropriately	Unselectable
4-9. Request to suppliers	Do you periodically request your suppliers to give serious consideration to the environment through CSR procurement questionnaires or other means, and confirm their efforts?	4-9-1	Request to suppliers	No request and no immediate plans to address	Irregular requests are made, and if necessary, the response status is checked	Unselectable	Periodic requests are made and there is a system to check the results	Not applicable (no transactions for raw material procurement and contract processing)
	Do you periodically request your suppliers to ensure proper control of chemical substances through CSR procurement survey and other means, and confirm their efforts?	4-9-2	Request to suppliers	No request and no immediate plans to address	Irregular requests are made, and if necessary, the response status is checked	Unselectable	Periodic requests are made and there is a system to check the results	Not applicable (no transactions for raw material procurement and contract processing)

Free space

IV. As for the above questions related to the environment, please provide any supplementary information, future plans, or examples of specific initiatives at your company. (Free to fill in) Note: If you have acquired ISO 14001 or other environmental certifications or standards, please specify them.

G	5. Fair business activities (ethics and compliance)	A company is required to engage **in fair practices** (e.g., prevention of corruption, responsible political involvement, fair competition, and elimination of relationships with **anti-social forces and groups (33)**) in the **business process** of producing products and services (23). Key global frameworks and norms for fair business practices: **10 principles of the UN Global Compact (8), OECD Guidelines for Multinational Enterprises (10), UN Convention against Corruption (34)** Major laws and regulations on fair business practices: **Competition law of each country (35):** Antimonopoly Act (Japan), Antitrust law (U.S.), European Union competition law (EU) To comply with domestic and international laws and regulations, and practice corporate ethics, we ask you to address the following. 1) Understand domestic and international laws, regulations, and internal rules, and frequently check for revisions to laws and regulations 2) Maintain sound relationships with public officials and customers 3) Maintain fair and impartial relationship with competitors	**5-1. Basic stance on fair business practices**	Do you understand domestic and international laws, regulations, social/industry norms, and standards on **fair business practices**?	5-1-1	**Legal awareness**	Not recognized	Aware of, but not able to check for violations	Unselectable	Aware of and checking for violations	Unselectable
				Have you established policies and guidelines on **fair business practices?** Note: Guidelines for promoting corporate ethics and legal compliance	5-1-2	Policy	Not established and no immediate plans to address	Although not yet established, the president or an officer understands the importance of CSR promotion and gives instructions as necessary	Not yet established, but understand the importance of CSR promotion and will take action within about a year	Established and updated timely and appropriately	Unselectable
				Have you clarified the **person in charge and the system (responsibility/implementation department)** regarding **fair business practices?**	5-1-3	System and Responsibility	Not clarified	Clarified	Unselectable	Clarified and updated timely and appropriately	Unselectable
				Do you have a system for verifying industry practices, business customs, and checking trends in the establishment, revision, and abolition of laws and regulations applicable to your company, and communicating these to workers, etc.?	5-1-4	Availability and review of systems	No system in place	System in place	Unselectable	A system is in place and is reviewed timely and appropriately	Unselectable
			5-2. Appropriate relationships with local governments and public officials both domestic and international where business activities are conducted	**Are there any initiatives** regarding **appropriate relationships with public officials?** Also, do you have **systems in place to check and verify the results of your efforts and to correct them?** Note: To prevent corruption, hospitality training for public officials, etc. (Maintain a healthy relationship between corporations and public officials)	5-2-1	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
			5-3. Prevention of inappropriate profits with customers or business partners in sales or purchasing activities	**Are there any initiatives** regarding **appropriate relationships with customers?** Also, do you have **systems in place to check and verify the results of your efforts and to correct them?** Note: Anti-corruption measures, etc. (Maintain a healthy relationship with customers)	5-3-1	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
			5-4. Prevention of competition law violations in sales activities, etc. (36)	**Are there any initiatives** to prevent violations of competition laws? Also, do you have **systems in place to check and verify the results of your efforts and to correct them?** Note: Efforts to prevent unfair transactions, etc. (**To prevent unfair trade practices (40),** such as collusion (37), cartels (38), and abuse of a dominant position (39).)	5-4-1	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
			5-5. Elimination of relationships with anti-social forces and groups	**Are there any initiatives** to eliminate relationships with anti-social forces and groups? Also, do you have **systems in place to check and verify the results of your efforts and to correct them?** Note: Actions to cut off relationships with anti-social forces and groups (Eliminate relationships with crime syndicates, corporate extortionists, etc.)	5-5-1	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
			5-6. Prevention of unauthorized use of third-party intellectual property rights and illegal duplication of copyrighted works	**Are there any initiatives** to **respect intellectual property rights?** Also, do you have **systems in place to check and verify the results of your efforts and to correct them?** (Respect intellectual property rights, such as **patents, copyrights, and trademarks (41)**.) Note: Efforts to protect and respect the company's intellectual property and to prevent unauthorized use of third-party intellectual property rights and illegal reproduction of copyrighted works (Promote the acquisition and protection of intellectual property rights in research and development, manufacturing, and sales activities to prevent the company's technology leakage, which is the source of its competitiveness. Also, in all situations, do not violate the intellectual property rights of third parties or reproduce/use without permission.)	5-6-1	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
			5-7. Complaints and consultation services from outside the company (suppliers, etc.)	Have you clarified the **person in charge and the system (responsibility/implementing department)** for complaints and consultation services from outside the company (suppliers, etc.)?	5-7-1	System and Responsibility	Not clarified	Clarified	Unselectable	Clarified and updated timely and appropriately	Unselectable
				Are there any initiatives to deal with complaints and consultation services from outside the company (suppliers, etc.)? Also, do you have **systems in place to check and verify the results of your efforts and to correct them?** Note: Establishment of complaints and consultation services from outside the company (suppliers, etc.) (A system is in place whereby business partners or consumers who become aware of important risk information regarding transactions with the company can report or consult directly with a dedicated department or external consultation services. In such cases, confidentiality should be strictly protected and no adverse treatment should be given.)	5-7-2	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
			5-8. Prohibition of insider trading	Are there any initiatives to **prohibit insider trading?** Also, do you have systems in place to check the results of your efforts and to correct them? Note: Rules and systems that prohibit the information disclosure to third parties, including family members, or the trading of shares prior to publication (To prevent related parties of listed companies from using undisclosed corporate information to buy or sell the shares, etc. of such companies.)	5-8-1	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Not applicable (an unlisted company)
			5-9. Prohibition of conflicts of interest	**Are there any initiatives** to prohibit conflicts of interest? Also, do you have **systems in place to check the results of your efforts and to correct them?** (In case of conflict between the interests of the employee and the company, the employee must not undermine the interests of the company and enjoy personal benefits.)	5-9-1	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
			5-10. Security/ export control (e.g. Foreign Exchange and Foreign Trade Act)	Do you have an export control system has been established and provide training and education to all workers? Note: Comply with the Foreign Exchange and Foreign Trade Act and other relevant laws in exporting the company's goods and technologies (In export transactions, comply with the Foreign Exchange and Foreign Trade Act and other relevant laws and regulations (including establishment of rules, dissemination to employees, and response to internal audits) to ensure that export goods and technologies are not used for the development or manufacture of weapons of mass destruction.)	5-10-1	Reviewing initiative results	No initiatives	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place and there is a system to check the results	Not applicable (not exporting)
			Free space	V. As for the above questions related to fair business practices, please provide any supplementary information, future plans, or examples of specific initiatives at your company. (Free to fill in)							
S	6. Quality and safety	A company is required to ensure the quality and safety of products and services provided through the business activities, and to respond appropriately to customers and consumers in the event of an accident. **Key global frameworks and norms on quality and safety (42):** ISO 9001, IEC/ISO Guide 51, New Approach European Directive, HACCP **Major laws and regulations on quality and safety (43):** Product Liability Act, Consumer Product Safety Act, Electrical Appliance and Material Safety Act, Gas Business Act, LPG Act, PMD Act, Radio Law, Food Sanitation Act, JAS Act, Water Supply Act, Household Goods Quality Labeling Act, Housing Quality Assurance Act, Road Transport Vehicle Act, Building Standards Act, and Act against Unjustifiable Premiums and Misleading Representations **In order to provide safe and high-quality products and services that meet the trust of customers,** we ask you to address the following. 1) Understand and comply with laws, regulations, and internal rules related to safety and quality standards 2) Create a system for maintaining and managing quality assurance 3) Take appropriate response in case of product/service accidents or distribution of defective products	**6-1. Basic stance on quality and safety of products and services**	**Do you understand domestic and international laws, regulations, social/industry norms, and standards regarding** the quality and safety of products and services?	6-1-1	**Legal awareness**	Not recognized	Aware of, but not able to check for violations	Unselectable	Aware of and checking for violations	Unselectable
				Have you established **policies and guidelines** for the quality and safety of products and services? Note: Policies and guidelines that stipulate the thoroughness of ensuring safety and quality when supplying products and services to customers or markets	6-1-2	Policy	Not established and no immediate plans to address	Either or both	Unselectable	Established and updated timely and appropriately	Unselectable
				Have you clarified the **person in charge and the system (responsible/implementing department)** regarding the quality and safety of products and services? Note: Establishment of specialized committees (organizations), discussions at regular meetings, etc.	6-1-3	System and Responsibility	Not clarified	Clarified	Unselectable	Clarified and updated timely and appropriately	Unselectable
			6-2. Promotion of ensuring the quality and safety of products and services	**Are there any initiatives to** maintain and improve the quality and safety of products and services? Also, do you have **systems in place to check the results of your efforts, and take corrective action as necessary?** Note: To ensure quality assurance (**quality management system such as ISO 9001,** third-party certification system, etc.) Note: To ensure quality and safety when supplying products and services to the market [Quality management system in the company, use of third party certification system, etc. (S/SG/ST/JIS/JAS mark, etc.)]	6-2-1	Reviewing and correcting initiative results	No initiatives	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, **audits are conducted,** and reviews are performed timely and appropriately	Unselectable
			6-3. Appropriate response in case of product/ service accidents or distribution of defective products	**Are there any initiatives** to take appropriate response in case of product/service accidents or distribution of defective products? Also, do you have **systems in place to check and correct the results of your efforts?** Note: In preparation for the occurrence of such an incident, a system for information disclosure, contact with the competent authorities, product recall, and safety measures for suppliers shall be established.	6-3-1	Reviewing and correcting initiative results	No initiatives	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, **audits are conducted,** and reviews are performed timely and appropriately	Unselectable
			6-4. Request to suppliers	Do you periodically request your suppliers to ensure product safety and quality assurance through CSR procurement survey or other means, and confirm their efforts?	6-4-1	Request to suppliers	No request and no immediate plans to address	Irregular requests are made, and if necessary, the response status is checked	Unselectable	Periodic requests are made and a system to check the results	Not applicable (no transactions for raw material procurement and contract processing)
			Free space	VI. As for the above questions related to quality and safety, please provide any supplementary information, future plans, or examples of specific initiatives at your company. (Free to fill in)							

Category	Section	Description	Subsection	Question	ID	Aspect	Response 1	Response 2	Response 3	Response 4	Response 5
S	7. Information security	A company has a responsibility to **properly manage and protect information** obtained through the business activities and to take protective measures against threats on computer networks. Major laws and regulations: Penal Law, Basic Act on Cybersecurity, Act on the Protection of Personal Information, Act on Prohibition of Unauthorized Computer Access, Act on Electronic Signatures and Certification Business, etc. **To ensure information security as a priority management issue, we ask you to address the following.** 1) Understand and comply with laws, regulations, and rules related to information security 2) Security against attacks on computer networks 3) Protection of personal information 4) Appropriate handling of confidential information	7-1. Basic stance on information security	**Do you understand domestic and international laws, regulations, social/industry norms, and standards on** information security? Note: Compliance with laws, regulations and internal rules related to information security, etc.	7-1-1	Legal awareness	Not recognized	Aware of, but not able to check for violations	Unselectable	Aware of and checking for violations	Unselectable
				Have you established **policies and guidelines** for information security? Note: Policies and guidelines that ensure the protection of personal and confidential information and the appropriate management of documents and data	7-1-2	Policy	Not established and no immediate plans to address	Either or both	Unselectable	Established and updated timely and appropriately	Unselectable
				Have you clarified the person in charge and the system (responsibility/implementation department) on information security?	7-1-3	System and Responsibility	Not clarified	Clarified	Unselectable	Clarified and updated timely and appropriately	Unselectable
			7-2. Security against attacks on computer networks	**Are there any initiatives to** protect against attacks on computer networks? Also, do you have **systems in place to check and correct the results of your efforts?** Note: Defensive measures against threats on computer networks to prevent damage to your company and other companies.	7-2-1	Reviewing and correcting initiative results	No initiatives	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, **audits are conducted**, and reviews are performed timely and appropriately	Unselectable
			7-3. Personal data and privacy protection	**Are there any initiatives to** protect personal data and privacy? Also, do you have **systems in place to check and verify the results of your efforts and to correct them?** Note: Properly manage and protect personal information of customers, third parties, and employees.	7-3-1	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
			7-4. Prevention of abuse of confidential information	**Are there any initiatives** to **prevent the unauthorized use of confidential information?** Also, do you have **systems in place to check the results of your efforts, and take corrective action as necessary?** Note: Properly manage and protect confidential information received from customers and third parties.	7-3-2	Reviewing and correcting initiative results	No initiatives	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, **audits are conducted**, and reviews are performed timely and appropriately	Unselectable
			Free space	VII. As for the above questions related to information security, please provide any supplementary information, future plans, or examples of specific initiatives at your company. (Free to fill in)							
ESG	8. Supply chain (44)	A company is required to fulfill its social responsibility in the business process of developing products and services. **In order to practice CSR procurement not only at the company itself but also throughout the supply chain, CSR procurement policies should be established and disseminated both internally and externally.** Therefore, it is necessary to inform your suppliers and vendors with the items listed in each section of this survey, and to ensure their compliance. Major laws and regulations on supply chain: **EU RoHS Directive (45), REACH Regulation (28), UK Modern Slavery Act (11), California Transparency in Supply Chains Act (46)** **A company is required to fulfill its social responsibility in the business process of developing products and services. In order to achieve CSR procurement not only at the company itself but also throughout the supply chain, we ask you to address the following.** 1) Establish a CSR procurement policy and disseminate it within the company and to suppliers 2) Address conflict minerals	8-1. Basic stance on the supply chain	**Do you understand domestic and international laws, regulations, social/industry norms, and standards regarding** the basic stance on supply chain?	8-1-1	Legal awareness	Not recognized	Aware of, but not able to check for violations	Unselectable	Aware of and checking for violations	Unselectable
				Have you established policies and guidelines regarding the basic stance on supply chains? Note: CSR procurement policy, etc.	8-1-2	Policy	Not established and no immediate plans to address	Either or both	Unselectable	Established and updated timely and appropriately	Unselectable
				Have you clarified the person in charge and the system (responsibility/implementation department) regarding the basic stance on supply chain?	8-1-3	System and Responsibility	Not clarified	Clarified	Unselectable	Clarified and updated timely and appropriately	Unselectable
				Are there any initiatives regarding the basic stance on supply chain? Also, do you have systems in place to check the results of your efforts, and take corrective action as necessary? Note: Communication and dissemination within and outside the company to promote CSR procurement, etc.	8-1-4	Reviewing and correcting initiative results	No initiatives	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, **audits are conducted**, and reviews are performed timely and appropriately	Unselectable
				Do you periodically request your suppliers to implement CSR procurement throughout the supply chain through CSR procurement survey or other means, and confirm their efforts?	8-1-5	Request to suppliers	No request and no immediate plans to address	Irregular requests are made, and if necessary, the response status is checked	Periodic requests are made and if necessary there is a system to check the results	Audits are conducted, **and** reviews are performed timely and appropriately (after requesting and confirming results through periodic CSR surveys, etc.).	Not applicable (no transactions for raw material procurement and contract processing)
		Prevent the purchase and use of gold (Au), tantalum (Ta), tungsten (W), and tin (Sn), which are conflict minerals related to inhumane acts by local armed forces in the Democratic Republic of Congo and surrounding countries, and investigate and confirm with suppliers. Major laws and regulations: **Dodd-Frank Act (48), EU' Regulations on Conflict Mineral Resources" (operational 2021)**	8-2. Use of raw materials without involvement in conflicts or crimes (to address conflict minerals (47))	Do you purchase or use **gold (Au), tantalum (Ta), tungsten (W), or tin (Sn)**, which are conflict minerals related to inhumane acts by local armed forces in the Democratic Republic of Congo and surrounding countries (Sudan, Uganda, Rwanda, Burundi, Republic of Tanzania, Central African Republic, Angola, Zambia), in products supplied to us? Also, have you investigated their origin and distribution channels?	8-2-1	Reviewing and correcting initiative results	Not sure if the conflict minerals gold (Au), tantalum (Ta), tungsten (W), or tin (Sn) are used in our products or not. (Unidentified)	The conflict minerals gold (Au), tantalum (Ta), tungsten (W), and tin (Sn) are used in our products, but their origin and distribution processes have not been investigated.	The conflict minerals gold (Au), tantalum (Ta), tungsten (W), and tin (Sn) are used in our products, but their origin and distribution processes have been investigated.	The conflict minerals gold (Au), tantalum (Ta), tungsten (W), and tin (Sn) are not used in our products	Not applicable (no in-house production activities)
				Cobalt (Co) is not included in the conflict minerals listed above. However, since 50% of the minerals in question are mined in the Democratic Republic of the Congo and children are forced to mine them, the origin and distribution channels need to be investigated in the same way as for conflict minerals. Do you purchase and use cobalt (Co) **in our products**, and have you investigated its origin and distribution channels?	8-2-2	Reviewing and correcting initiative results	Not sure if cobalt (Co) is used in our products or not. (Unidentified)	Cobalt (Co) is used in our products, but its origin and distribution process has not been investigated	Cobalt (Co) is used in our products, but its origin and distribution process have been investigated	Cobalt (Co) is not used in our products	Not applicable (no in-house production activities)
				Do you investigate and confirm your suppliers' use of conflict minerals, country of origin, and distribution process (periodic CSR surveys)? [Note: Certain minerals ("conflict minerals") in the Democratic Republic of Congo and its surrounding areas are a source of funding for local armed forces that commit inhumane acts and cause further conflict. For this reason, the U.S. Financial Regulatory Reform Act (Dodd-Frank Wall Street Reform and Consumer Protection Act) requires U.S. listed companies to disclose whether they need conflict minerals for manufacturing. In response, the companies concerned have been requested to inquire about and audit their suppliers.]	8-2-3	Request to suppliers	No request and no immediate plans to address	Irregular requests are made, and if necessary, the response status is checked	Unselectable	Periodic requests are made and there is a system to check the results	Not applicable (no transactions for raw material procurement and contract processing)
			Free space	VII. As for the above questions related to the supply chain, please provide any supplementary information, future plans, or examples of specific initiatives at your company. Note: If you are using conflict minerals in our products, please specify the mineral name: gold (Au), tantalum (Ta), tungsten (W), tin (Sn), or cobalt (Co). (Free to fill in)							
	9. Harmony with local communities (49)	A company is required to **take measures to eliminate damage to the health and safety** of local communities and residents caused by production processes and the operation of products and services. **In order to contribute to the sustainable development of local communities through the business activities, we ask you to address the following.** 1) Fully understand local culture and history 2) Work to reduce negative impacts on local communities 3) Dialogue and collaboration with local residents (job creation, technology development, supplier cultivation, etc.)	9-1. Efforts to reduce negative impacts on local communities	**Are there any initiatives** to reduce negative impacts on local communities? Also, **do you have** systems in place to check the results of your efforts, and take corrective action as necessary?	9-1-1	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
			9-2. Partnerships with local communities for sustainable development (Contribution and communication with local communities)	Are there any initiatives **to contribute to and dialogue with local communities?** Also, **do you have** systems in place to **check the results of your efforts, and take corrective action as necessary?** (Job creation, skills development, priority for local products and services, and supplier cultivation, etc.) Note: Regular meetings with local communities, priority recruitment from local residents, preferential procurement from the local market, participation in local events for friendship, etc.	9-1-2	Reviewing and correcting initiative results	No initiatives, no systems	Initiatives are in place, but there is no system to check the results	Unselectable	Initiatives are in place, and there is a system for reviewing results timely and appropriately	Unselectable
				Do you periodically request your suppliers to contribute to and dialogue with local communities through CSR survey or other means, and confirm their efforts?	9-1-3	Request to suppliers	No request and no immediate plans to address	Irregular requests are made, and if necessary, the response status is checked	Unselectable	Periodic requests are made and there is a system to check the results	Not applicable (no transactions for raw material procurement and contract processing)
			Free space	IX. As for the above questions related to "Harmonious with local communities," please provide any supplementary information, future plans, or examples of specific initiatives (internal and external environmental protection, social contribution activities, etc.) at your company.							